

310/1000 PCT/1999 15 APR 1999

**TRANSMITTAL LETTER TO THE UNITED STATES**
**DESIGNATED/ELECTED OFFICE (DO/EO/US)**
**CONCERNING A FILING UNDER 35 U.S.C. 371**

500.1007

U.S. APPLICATION NO. (IF KNOWN SEE 37 CFR

09/284683

INTERNATIONAL APPLICATION NO.  
PCT/EP96/04526

INTERNATIONAL FILING DATE  
17 October 1996

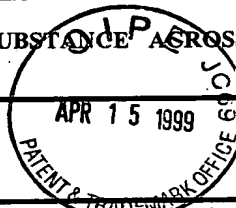
PRIORITY DATE CLAIMED

TITLE OF INVENTION

**PREPARATION FOR THE TRANSPORT OF AN ACTIVE SUBSTANCE ACROSS BARRIERS**

APPLICANT(S) FOR DO/EO/US

CEVC, Gregor



Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 13 to 18 below concern document(s) or information included:**

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.  
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☒ Certificate of Mailing by Express Mail
19. ☒ Other items or information:

- Written Opinion & Translation;  
 - Notification of the Recording of a change re inventorship;  
 - Notification of the Recording of a change re applicant;  
 - A copy of the International Application as published as WO 98/17255  
 - Postcard

U.S. APPLICATION NO. (IF KNOWN) <b>EE 37 CFR</b>	INTERNATIONAL APPLICATION <b>PCT/EP96/04526</b>	ATTORNEY'S DOCKET NUMBER <b>500.1007</b>																																																												
20. The following fees are submitted: <b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :</b> <input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO ..... <b>\$840.00</b> <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... <b>\$670.00</b> <input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... <b>\$760.00</b> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$970.00</b> <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... <b>\$96.00</b>  <div style="text-align: center;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>		<div style="border: 1px solid black; padding: 2px;"><b>CALCULATIONS PTO USE ONLY</b></div>																																																												
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <span style="float: right;"><input type="checkbox"/> 20    <input checked="" type="checkbox"/> 30</span>		<div style="border: 1px solid black; padding: 2px;"><b>\$840.00</b></div> <div style="border: 1px solid black; padding: 2px;"><b>\$130.00</b></div>																																																												
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<input checked="" type="checkbox"/> A check in the amount of <b>\$1,204.00</b> to cover the above fees is enclosed.  <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.  <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. <b>50-0552</b> A duplicate copy of this sheet is enclosed.																																																														
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>  <b>SEND ALL CORRESPONDENCE TO:</b>  <div style="border: 1px solid black; padding: 5px;"> <b>Clifford M. Davidson, Esq.</b>  <b>DAVIDSON, DAVIDSON &amp; KAPPEL, LLC</b>  <b>1140 Avenue of the Americas, 15th Floor</b>  <b>New York, New York 10036</b> </div>																																																														
<div style="text-align: right; margin-right: 50px;">             SIGNATURE   <b>Cary S. Kappel</b>            NAME   <b>36,561</b>            REGISTRATION NUMBER   <b>4/15/99</b>            DATE         </div>																																																														

430 Rec'd PCT/PTC 1 5 APR 1999 POST

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>		Docket No.	
Applicant(s): CEVC, Gregor		500.1007	
Serial No. TO Be Assigned	Filing Date Herewith	Examiner	Group Art Unit

Invention: **PREPARATION FOR THE TRANSPORT OF AN ACTIVE SUBSTANCE ACROSS BARRIERS**

09/284683

I hereby certify that this **U.S. NATIONAL PHASE APPLICATION**  
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on  
**April 15, 1999**  
(Date)

**Jane E. Alexander**  
(Typed or Printed Name of Person Mailing Correspondence)

*Jane E. Alexander*  
(Signature of Person Mailing Correspondence)

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